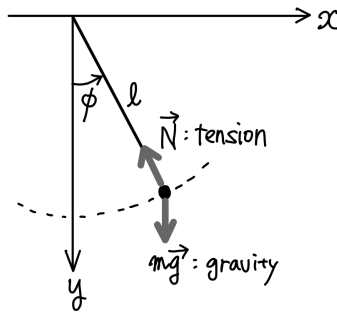


1 Lagrangian mechanics

1.1 Example: The planar pendulum



The purpose of this section is to:

- (i) see the power of the Lagrangian approach
- (ii) demonstrate that the variational principle works for constrained systems

1.1.1 Newtonian approach

$$\dot{\vec{p}} = m\vec{g} + \vec{N}$$

Components:

$$\begin{cases} m\ddot{x} = -N \sin \phi \\ m\ddot{y} = mg - N \cos \phi \end{cases}$$

where $N \equiv |\vec{N}|$.

$\vec{N}$ is a **constraint force**. It is perpendicular to the motion $\Rightarrow$ does no work $\Rightarrow$ energy is conserved.

Relation between the Cartesian coordinates (x, y) and ϕ :

$$\begin{cases} x = l \sin \phi \\ y = l \cos \phi \end{cases} \Rightarrow \begin{cases} \dot{x} = l(\cos \phi)\dot{\phi} \\ \dot{y} = -l(\sin \phi)\dot{\phi} \end{cases} \Rightarrow \begin{cases} \ddot{x} = l(+\cos \phi \ddot{\phi} - \sin \phi \dot{\phi}^2) \\ \ddot{y} = l(-\sin \phi \ddot{\phi} - \cos \phi \dot{\phi}^2) \end{cases}$$

Plug these into the equation of motion:

$$\begin{cases} ml(\cos \phi \ddot{\phi} - \sin \phi \dot{\phi}^2) = -N \sin \phi \\ ml(-\sin \phi \ddot{\phi} - \cos \phi \dot{\phi}^2) = mg - N \cos \phi \end{cases}$$

Multiply the first equation by $\cos \phi$ and the second equation by $\sin \phi$, then subtract the two equations from each other to eliminate N . We get

$$\ddot{\phi} = -\frac{g}{l} \sin \phi$$

N can be expressed

$$N = \frac{ml(\cos \phi \ddot{\phi} - \sin \phi \dot{\phi}^2)}{-\sin \phi} = \underbrace{mg \cos \phi}_{\text{counter gravity}} + \underbrace{ml\dot{\phi}^2}_{\text{centripetal force}}$$

We had to introduce a constraint force $\vec{N}$. We also needed the EOMs for x and y to derive an EOM for ϕ .

1.1.2 Lagrangian approach

There is one (holonomic) constraint: $r = l$. Thus, there is 1 degree of freedom. We can use ϕ as the generalised coordinate.

$$\begin{cases} x = l \sin \phi \\ y = l \cos \phi \end{cases} \Rightarrow \begin{cases} \dot{x} = l(\cos \phi)\dot{\phi} \\ \dot{y} = -l(\sin \phi)\dot{\phi} \end{cases}$$

• Kinetic energy

Plug the above expressions for $\dot{x}$ and $\dot{y}$ in the Cartesian formula:

$$T = \frac{1}{2}m(\dot{x}^2 + \dot{y}^2) = \frac{1}{2}ml^2\dot{\phi}^2$$

Alternatively, we can start with the kinetic energy expressed in polar coordinates, and then set $r = l$, $\dot{r} = 0$:

$$T = \frac{1}{2}m(\dot{r}^2 + r^2\dot{\phi}^2) = \frac{1}{2}ml^2\dot{\phi}^2$$

• Potential energy

$$V = -mgy = -mgl \cos \phi \quad (\text{the } y \text{ axis is pointing downwards!})$$

• Lagrangian

$$L = T - V = \frac{1}{2}ml^2\dot{\phi}^2 + mgl \cos \phi$$

The momentum conjugate to ϕ :

$$p_\phi = \frac{\partial L}{\partial \dot{\phi}} = ml^2\dot{\phi}$$

The Euler-Lagrange equation

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{\phi}} = \frac{\partial L}{\partial \phi}$$

gives

$$ml^2\ddot{\phi} = -mgl \sin \phi$$

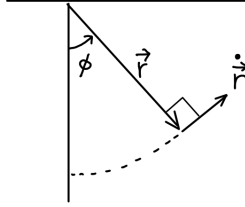
$$\ddot{\phi} = -\frac{g}{l} \sin \phi$$

- This derivation is much simpler and more straightforward than the old Newtonian method:

(i) $\vec{N}$ never appeared.

(ii) Directly got the equation of motion for ϕ .

- The energy $E = T + V$ is conserved. (Check it)



- p_ϕ is angular momentum

$$\vec{L} = \vec{r} \times m\dot{\vec{r}}$$

$$|\vec{L}| = l \cdot m \sqrt{\dot{x}^2 + \dot{y}^2} = l \cdot m l |\dot{\phi}| = |p_\phi|$$

Therefore

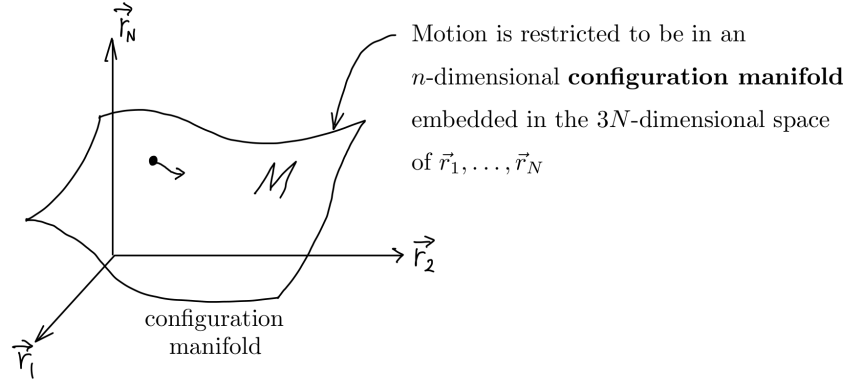
$$|p_\phi| = |\vec{L}|$$

2 Constraints

- **Holonomic constraints**

Constraints that can be written in the form of

$$\left. \begin{array}{l} f_1(\vec{r}_1, \dots, \vec{r}_N, t) = 0 \\ f_2(\vec{r}_1, \dots, \vec{r}_N, t) = 0 \\ \vdots \\ f_h(\vec{r}_1, \dots, \vec{r}_N, t) = 0 \end{array} \right\} h \text{ equations} \rightarrow \text{reduce the \#DoF from } 3N \text{ to } 3N - h \equiv n$$



The constraint equations can be solved in terms of n independent variables

$$\vec{q} = (q_1, \dots, q_n)$$

These are the generalised coordinates.

$$\left\{ \begin{array}{l} \vec{r}_1 = \vec{r}_1(q_1, \dots, q_n) \\ \vdots \\ \vec{r}_N = \vec{r}_N(q_1, \dots, q_n) \end{array} \right.$$

- **Non-holonomic constraints**

These are all other types of constraints which are not holonomic.

2.1 Examples for holonomic constraints

2.1.1 The planar pendulum

The constraint equation is

$$f = x^2 + y^2 - l^2 = 0$$

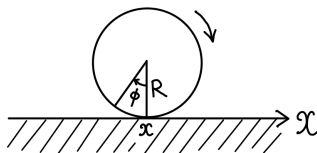
This can be solved using the generalised coordinate ϕ as

$$x = l \sin \phi \quad y = l \cos \phi$$

2.1.2 Rigid bodies

$$|\vec{r}_i - \vec{r}_j| - c_{ij} = 0$$

2.1.3 A disk rolling without slipping in one dimension



No slipping means $dx = R d\phi$, or if we divide both sides by dt ,

$$\dot{x} = R\dot{\phi} \tag{1}$$

This can be integrated to give

$$x = R\phi + \text{const.}$$

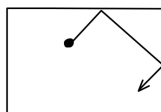
which is holonomic. We can use either x or ϕ as the generalised coordinate.

2.2 Examples for non-holonomic constraints

2.2.1 A disk rolling without slipping in higher dimensions

In higher dimensions, the analog of (1) cannot be integrated and the constraint is therefore non-holonomic (see Goldstein et al. p15-16).

2.2.2 Other examples



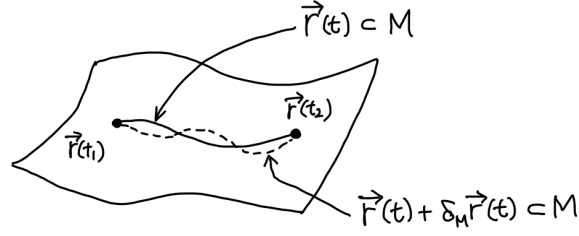
A particle
in a box



A particle on
a sphere

$$r \geq R$$

2.3 Variational calculus with constraints

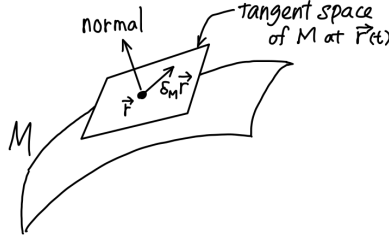


$$S = \int_{t_1}^{t_2} dt L(\vec{r}, \dot{\vec{r}})$$

Find the extremum as before. Now the variation is restricted to be in M :

$$0 = \delta_M S = \delta_M \int_{t_1}^{t_2} dt L(\vec{r}, \dot{\vec{r}}) = \int_{t_1}^{t_2} dt \left(\frac{\partial L}{\partial \vec{r}} \delta_M \vec{r} + \frac{\partial L}{\partial \dot{\vec{r}}} \delta_M \dot{\vec{r}} \right) = \int_{t_1}^{t_2} dt \left(\frac{\partial L}{\partial \vec{r}} - \frac{d}{dt} \frac{\partial L}{\partial \dot{\vec{r}}} \right) \delta_M \vec{r}$$

This is the same result as in the unconstrained case, except we have $\delta_M \vec{r}$ instead of $\delta \vec{r}$. From this result we cannot conclude that the Euler-Lagrange equation inside the parentheses vanishes, because $\delta_M \vec{r}$ is not completely arbitrary: it is constrained to lie in the tangent space of M .



So the above result does not put any constraint on the normal component and we get the equation

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{\vec{r}}} - \frac{\partial L}{\partial \vec{r}} = \vec{N}$$

where $\vec{N}$ is a constraint force perpendicular to M .

2.4 Procedure for solving problems with holonomic constraints

- (i) Determine the configuration manifold (e.g. $x^2 + y^2 = l^2$) and introduce generalised coordinates on it:

$$\{q_i\}, \quad i = 1, 2, \dots, n \quad \text{where } n \text{ is the } \# \text{DoF}$$

- (ii) Re-express the kinetic energy T in terms of $\vec{q}$ and $\dot{\vec{q}}$.

If the constraints are time-independent and $\vec{r}_i = \vec{r}_i(\vec{q})$, then T is quadratic in $\dot{\vec{q}}$

$$T = \sum_{i,j} \frac{1}{2} a_{ij}(\vec{q}) \dot{q}_i \dot{q}_j$$

E.g. planar pendulum: $q = \phi$ and $T = \frac{1}{2} \underbrace{ml^2}_{a(\phi)} \dot{\phi}^2$

- (iii) Construct the Lagrangian

$$L = T - V(\vec{q})$$

and solve the Euler-Lagrange equations

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}_i} = \frac{\partial L}{\partial q_i}, \quad i = 1, 2, \dots, n$$